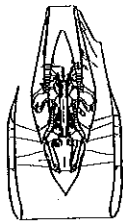


PRESENTATION TO  
Dr. P. McElligiot's 2004-2005 Physics Class in  
Fountain Hills, Arizona



# The Physics and Applications of Heat Engine

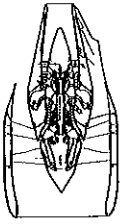
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By SCOTT MEYERS, P.E.  
April 25, 2005

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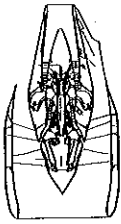
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# What is a Heat Engine?

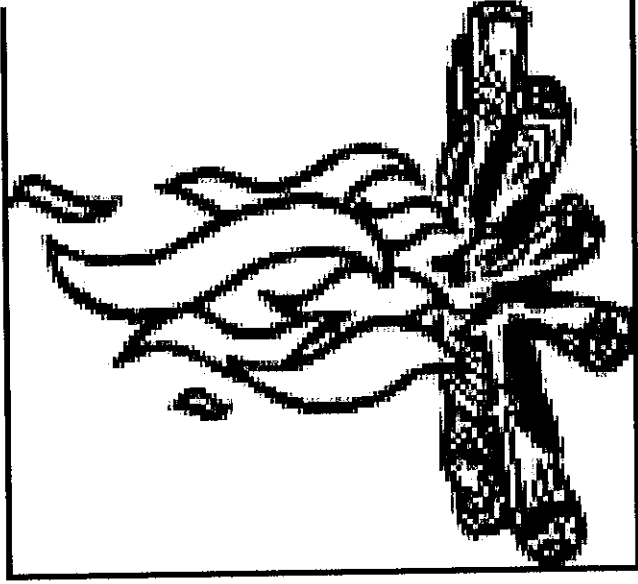


- A heat engine is a device which uses the a working fluid or material to convert heat to useful work.
- This generally accomplished by “torturing the air” with pumps, turbine or cylinders and heat exchangers to convert heat to work.

# What is not a heat engine?

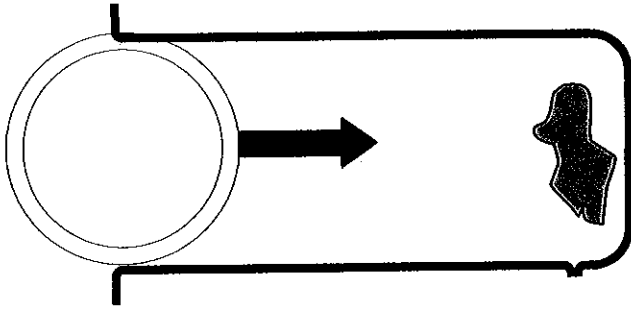
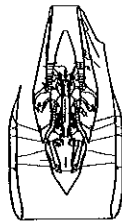


- What's happening here?
- Chemical energy is the wood is being converted to hot gases.
- You can warm you hands or boil water.
- You can't move a log or rock.
- Spin a turbine or move a cylinder.

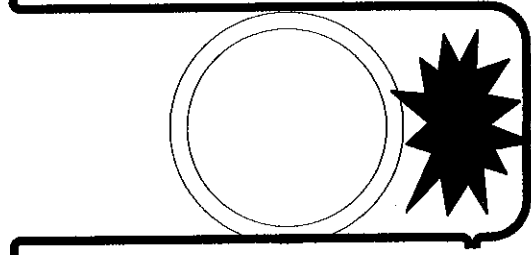
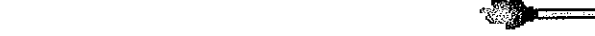


**ENTROPY HAS INCREASED  
BUT YOU CAN'T DO WORK  
WITH IT.**

# THIS IS A HEAT ENGINE.

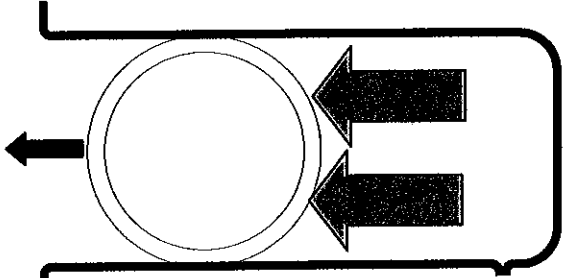


- A PUDDLE OF LIGHTER FLUID IS PLACED AT THE BOTTOM OF CYLINDER AND TENNIS BALL IS DROPPED IN THE CAN.
- THE TENNIS BALL COMPRESSES THE GAS AS IT FALLS UNDER THE FORCE OF GRAVITY.



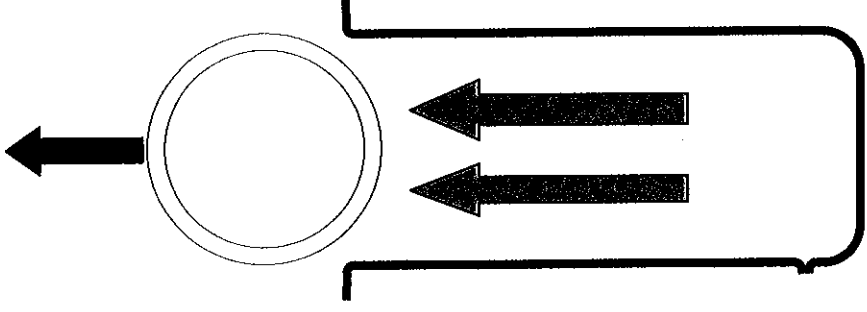
- THE LIGHTER FLUID IS IGNITED, RAISING THE TEMPERATURE OF AIR.
- THE PRESSURE RISES ABRUPTLY WITH THE TEMPERATURE.

$$P = RT/V$$

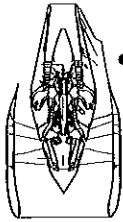


- THE HIGH PRESSURE ACTS OF THE BALL AND DOES WORK ON THE BALL.
- THE WORK IS CONVERTED INTO KINETIC ENERGY OF THE BALL.

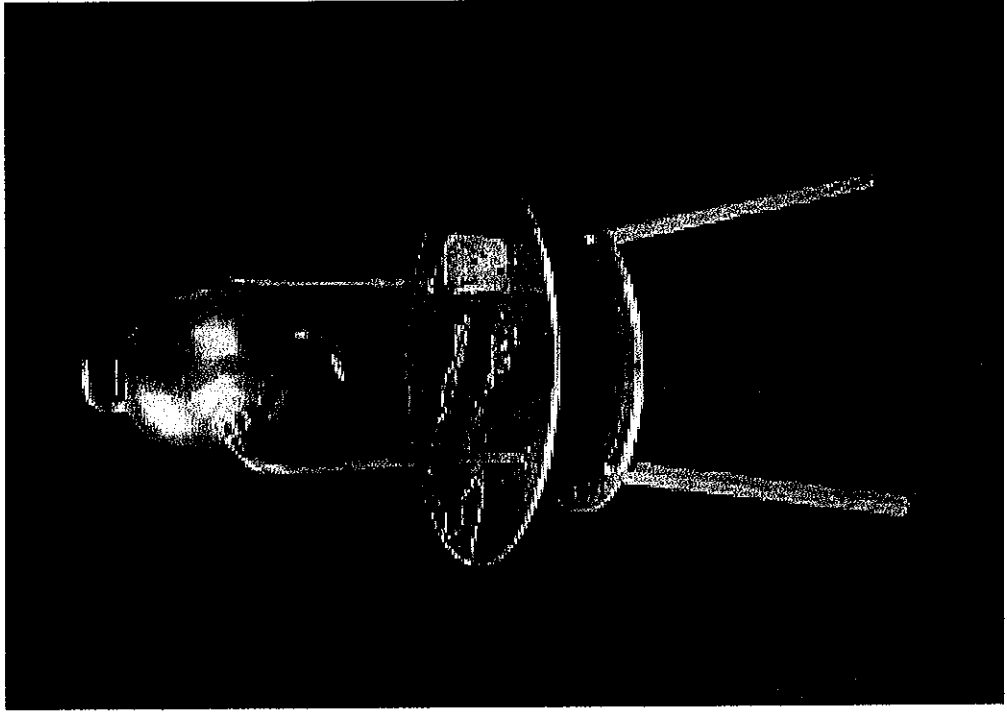
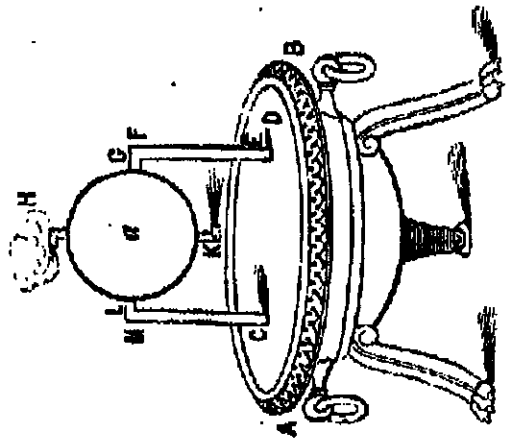
$$\frac{1}{2} M V^2 = P * A * \Delta X$$



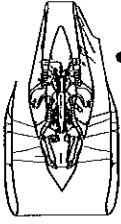
# The World's First Heat Engine



- Invented by the Greek Hero about 200 BC
- Water is heated in the cauldron below to make steam.
- The steam vents from high pressure to create two jets.
- These spin the ball.
- It is not known if useful work was accomplished with the machine.

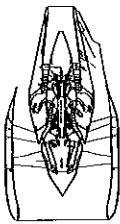


# Modern History of Heat Engine

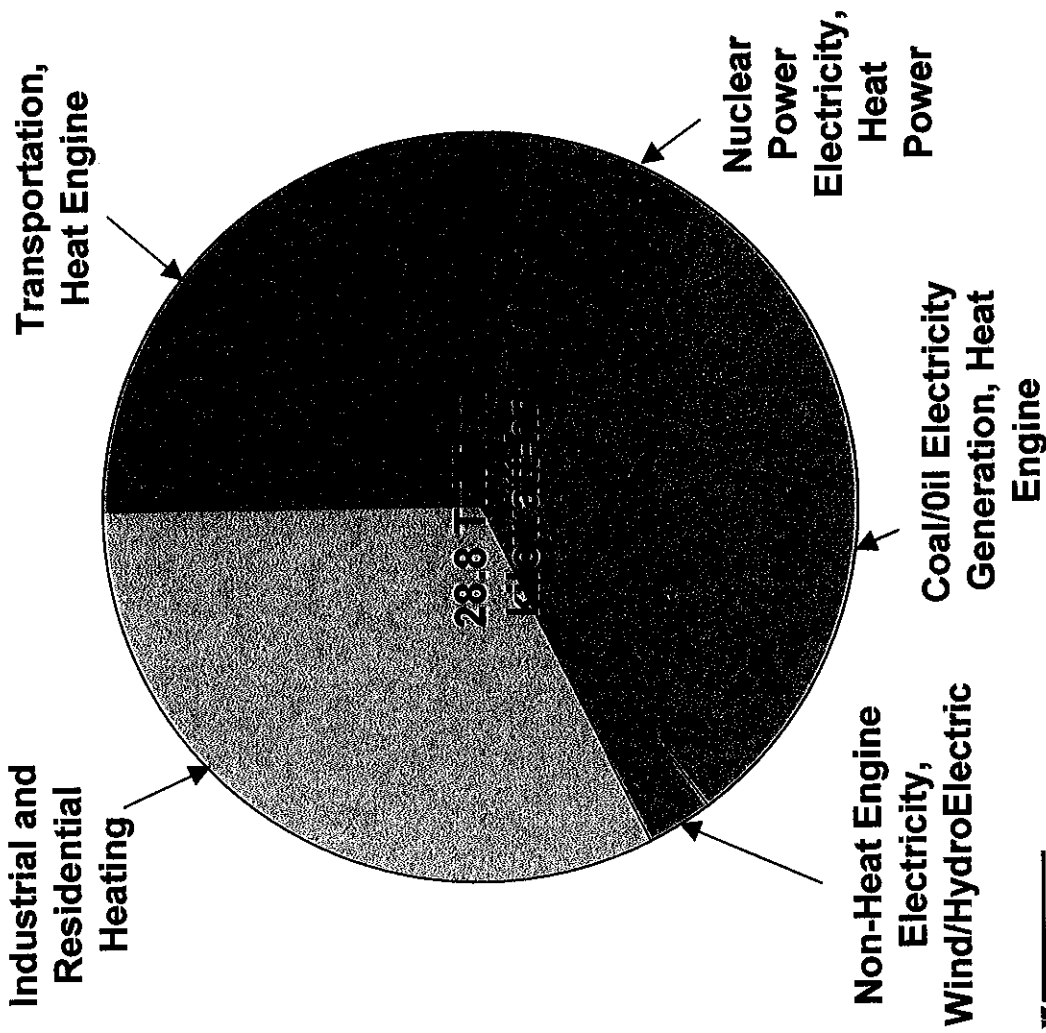


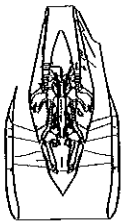
- Until Steam Engines were invented in 1600-1700s, all human endeavors were powered by:
  - Animal power (horses, oxen, even dogs)
  - Man power including extent slavery
  - Water power through water wheels
  - Wind power (wind mills, ships, etc.)
- First Steam Pump in 1698.
- First Practical Steam Engine in 1701 by Thomas Newcomen.
  - Wood powered then coal.
- Stirling Engines in 1840s. (You could buy a low efficiency Stirling Engine out of the Sears Catalog until early 1900s.)
- Internal combustion engines and automobiles, mid 1800s, becoming automobiles in late 1800s.
- Rankine cycle/freon airconditioners in the early 1900s.
- Gas turbine in 1914. First jet airplane in Germany late 1930s.
- Nuclear Powered Ships and Power Plants late 1940s.

# Significance of Heat Engines in the United States



- The United States Uses 28.8 Trillion kilowatt-hr (98.15 x 10<sup>15</sup> Btu) of energy per year.
- Heat Engines are used to produce:
  - 10.9 Trillion kilowatt-hrs of electricity (38% of total US energy)
  - 7.9 Trillion kilowatt-hrs of energy to power cars, trains and airplanes (27% of total US energy.)
- Non-Heat Engine systems make 0.86 Trillion kilowatt-hrs of electricity (8% of electricity in the US and 3% of total US energy)





# Carnot Efficiency

First law of thermodynamics, Energy Balance:

$$dU = dQ + dW$$

Second law of thermodynamic, Entropy Production:

$$dP_S = dS - \begin{matrix} \text{increase in entropy} \\ \text{storage} \end{matrix} - \begin{matrix} dQ/T \\ \text{entropy} \\ \text{inflow} \end{matrix}$$

For a reversible cycle/system:

$$dP = 0 = S_1 - S_2 + Q_H/T_H - Q_C/T_C$$

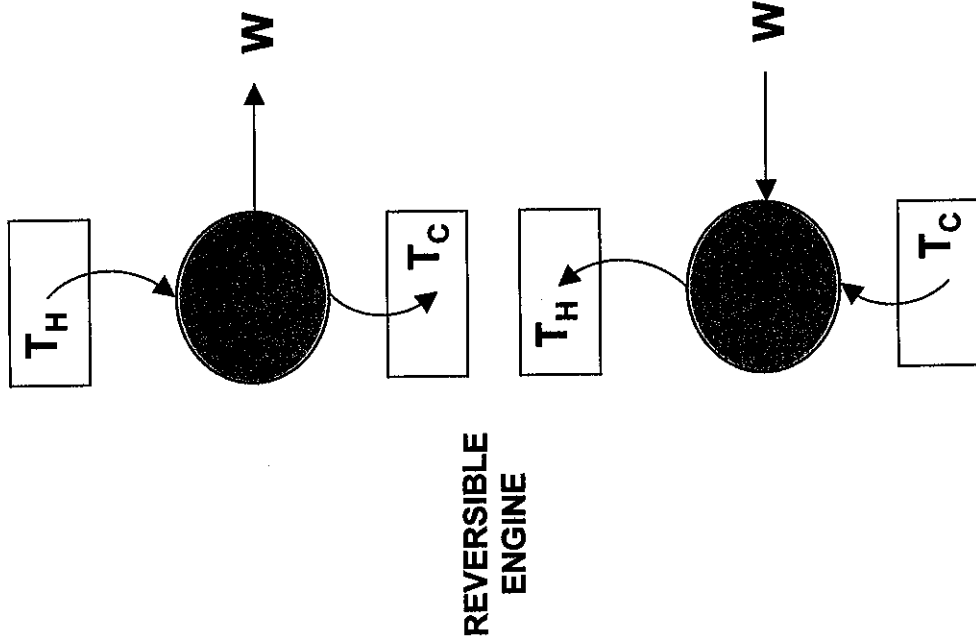
And  $S_1 = S_2$  and  $dU = 0$  for a steady state system.

$$Q_H/T_H = Q_C/T_C \quad Q_H/Q_C = T_H/T_C$$

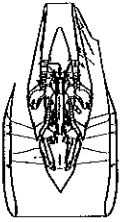
$$W = Q_H - Q_C$$

Efficiency is defined and substituting:

$$\eta_{\text{carnot}} = (Q_H - Q_C)/Q_H = 1 - Q_C/Q_H = 1 - T_C/T_H$$





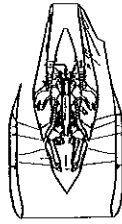


# Carnot Efficiency

**What is the best efficiency an engine can have if it burns fuel at 2500°K and rejects the heat to water at 273°K?**

$$\eta_{\text{carnot}} = 1 - T_c/T_H = 1 - 273/2500 = 0.89$$

# Carnot Efficiency



**A thermocouple direct energy conversion system works using dis-similar metal junctions held at different temperatures to generate a voltage and current. What is the best efficiency a thermoelectric generator is one side is held in boiling water (373°K) and the other side in ambient water (298°K)?**

# Rankine - Steam Cycle Heat Engine

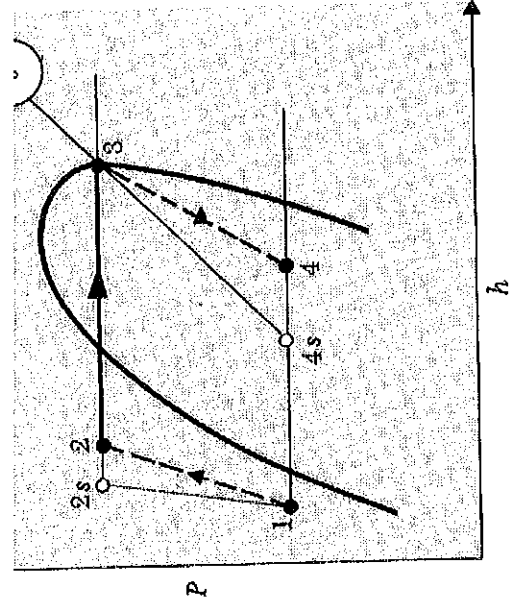
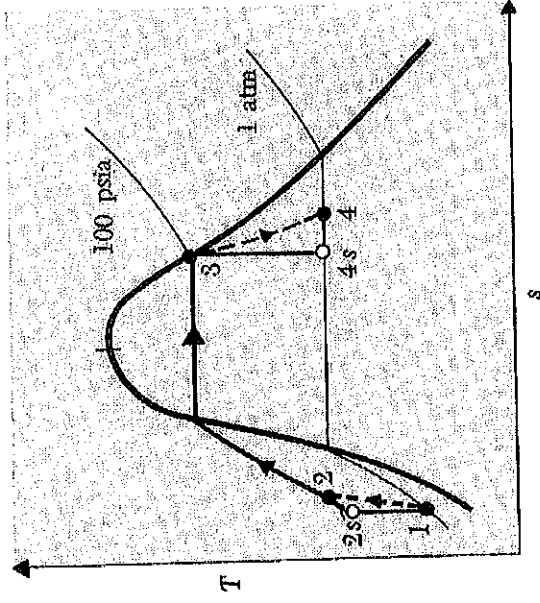
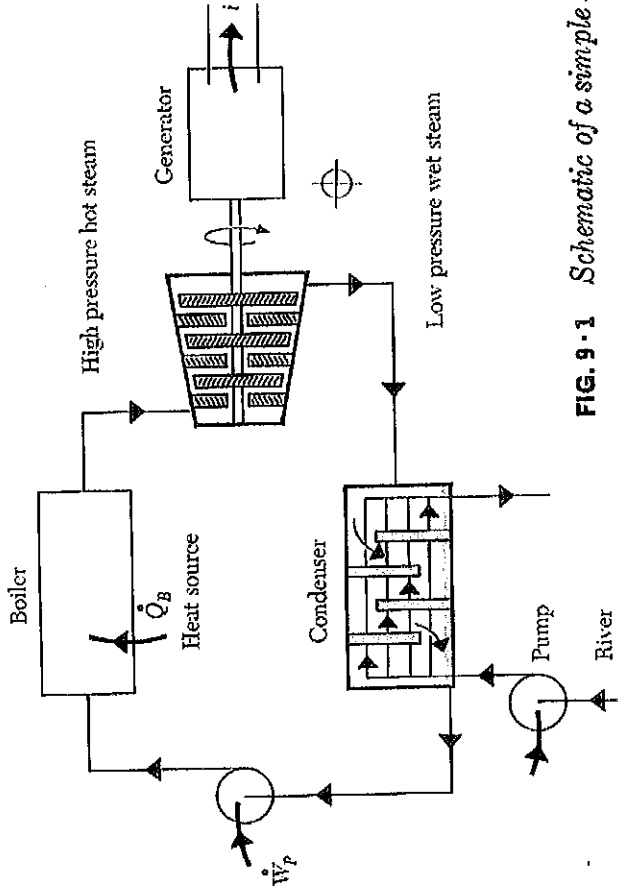
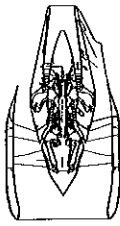
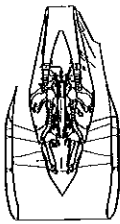


FIG. 9.1 Schematic of a simple steam power plant

# Reverse Rankine – Freon Airconditioning Cycle



OF REFRIGERATION SYSTEMS (A+B) 5-77

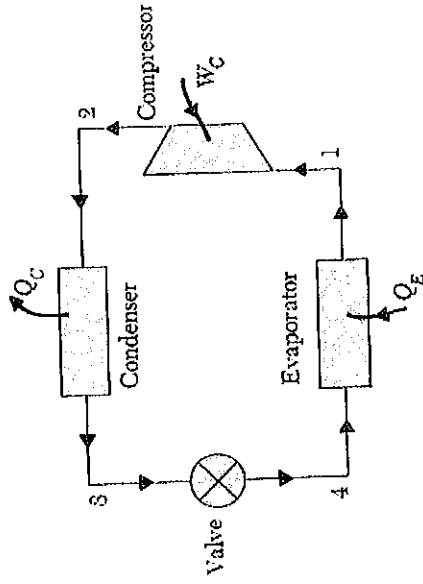
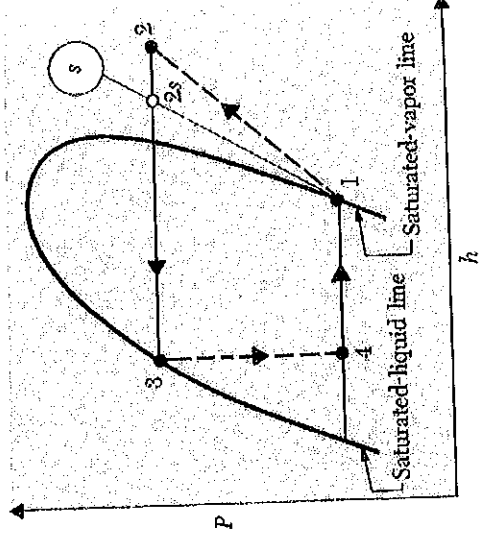
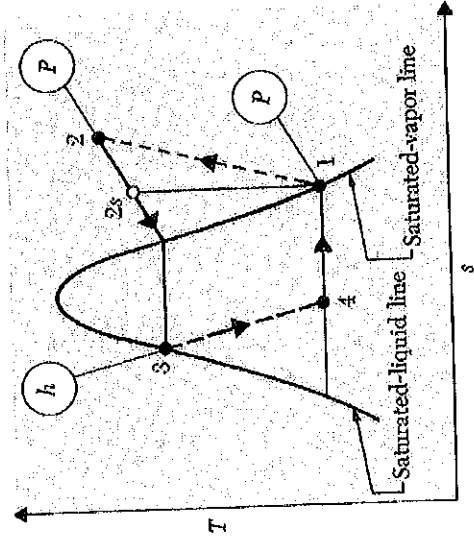
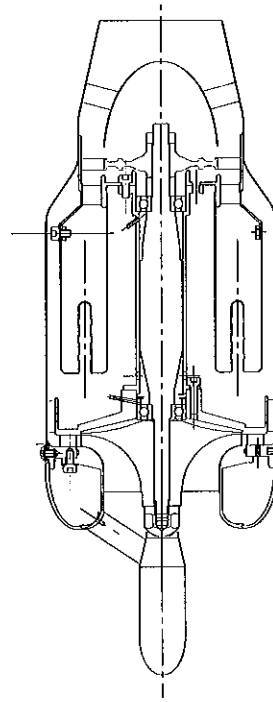
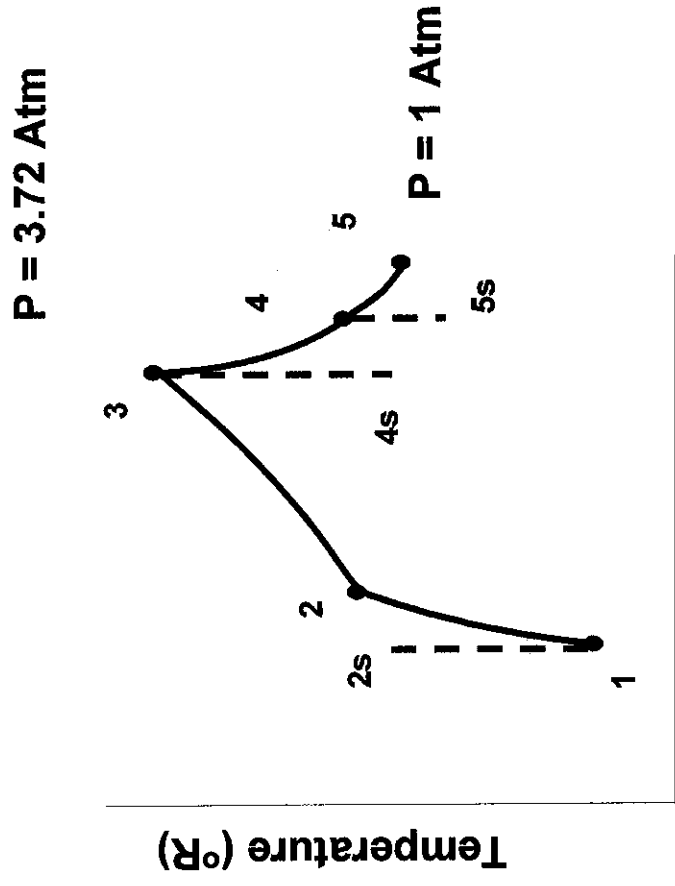
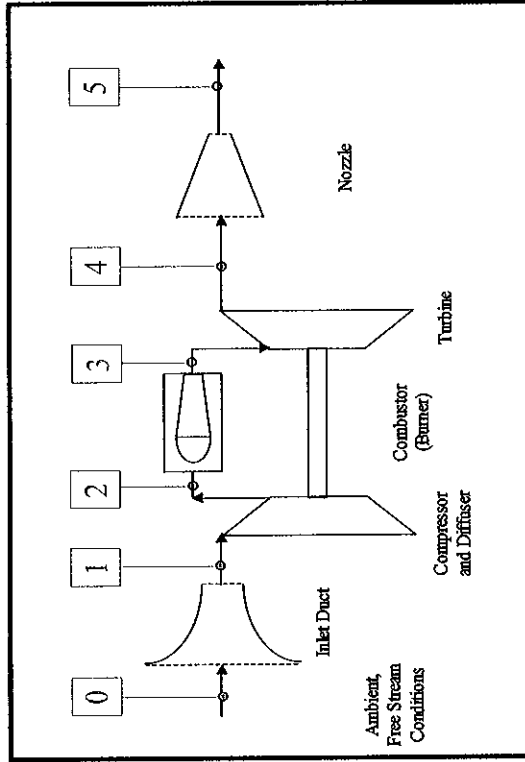
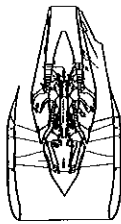


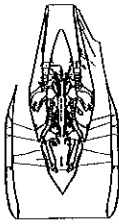
FIG. 9-21 Vapor-compression refrigeration system



# Simple Gas Turbine Heat Engine



# Thermodynamic Analysis Simple Gas Turbine Engine



GIVEN:  $P_1 = 14.696$  psia,  $T_1 = 59^\circ\text{F}$  (581.6 $^\circ\text{F}$ )

$m_1 = 0.91$  lbm/sec,  $\eta_{\text{compressor}} = 0.665$

PR<sub>compressor</sub> = 3.72  $\eta_{\text{core turbine}} = 0.83$

For a reversible compression or expansion process:

$$s_1 = s_{2s}$$

$$s_1 - s_{2s} = 0 = c_p \ln (T_{2s}/T_1) - R \ln (P_2/P_1)$$

where  $s$  - entropy (Btu/lbm/ $^\circ\text{R}$ )  
 $c_p$  - heat capacity (Btu/lbm/ $^\circ\text{R}$ )  
 $T$  - temperature ( $^\circ\text{R}$ )  
 $R$  - gas constant (Btu/lbm/ $^\circ\text{R}$ )  
 $P$  - pressure (psia)  
 $1$  - station at beginning of process  
 $2s$  - station at end of process

$$T_{2s}/T_1 = (P_2/P_1)^{R/c_p}$$

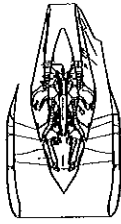
Substituting  $c_p - c_v = R$  and  $g = c_p/c_v$

where  $c_v$  - heat capacity at constant volume (Btu/lbm/ $^\circ\text{R}$ )  
 $\gamma$  - ratio of specific heats

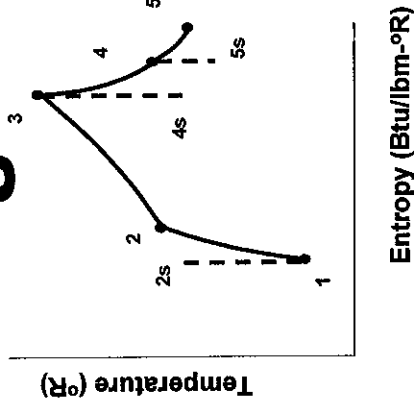
$$T_{2s}/T_1 = (P_2/P_1)^{((\gamma-1)/\gamma)}$$

# Thermodynamic Analysis (cont)

## Simple Gas Turbine Engine



(1) Compressor:



$$T_{2s}/T_1 = (P_{2s}/P_2)^{((\gamma-1)/\gamma)}$$

$$T_{2s} = T_1 * PR_{\text{compressor}}^{((\gamma-1)/\gamma)} \quad \text{where } \gamma = 1.4$$

$$T_{2s} = 518.6 * 3.72^{((1.4-1)/1.4)} = 518.6 * 3.72^{.286} = 518.6 * 1.455 = 754.8^\circ\text{R} (295.2^\circ\text{F})$$

$$\eta_{\text{compressor}} = W_{cs}/W_c$$

where  $W_c$  - actual compressor work (Btu/lbm)

$W_{cs}$  - reversible, 100% efficiency compressor work (Btu/lbm)

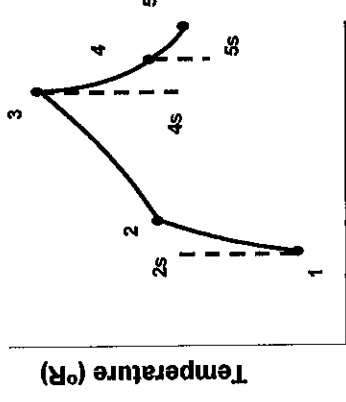
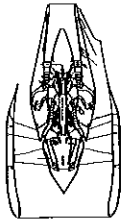
$$W_{cs} = c_p * (T_{2s} - T_1) \quad \& \quad W_c = c_p * (T_2 - T_1) \quad \text{where } c_p = .242 \text{ Btu/lbm/R over the temperature range}$$

$$W_c = c_p * (T_{2s} - T_1) / \eta_{\text{compressor}} = .242 * (754.8 - 518.6) / .665 = 85.9 \text{ Btu/lbm}$$

$$T_2 = W_c/c_p + T_1 = 85.9/.242 + 518.6 = 873.8^\circ\text{R} (414.2^\circ\text{F})$$

# Thermodynamic Analysis (cont)

## Simple Gas Turbine Engine



(1) Combustor (Station 2-3)

$$\Delta Q_{2-3} = c_p * (T_3 - T_2)$$

where  $Q$  – heat input in combustor (Btu/lbm)  
 $c_p = .262$  Btu/lbm/R

$$\Delta Q_{2-3} = .262 * (1959.6 - 873.8) = 284.47 \text{ Btu/lbm}$$

$$f = \Delta Q_{2-3} / H_v$$

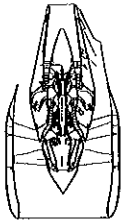
$$= 284.7 / 17560 = .0162$$

where  $H_v$  - heating value of fuel = 17560 Btu/lbm  
 $f$  - fuel-air ratio - lbm fuel/lbm inlet air



# Thermodynamic Analysis (cont)

## Simple Gas Turbine Engine



### (3) Core Turbine:

$W_T = -W_C$  where  $W_T$  - is the actual work done by the core turbine

$$W_T = C_p * (T_4 - T_3) * (1+f)$$

$$T_4 = -W_C / C_p / (1+f) + T_3$$

where  $c_p = .280 \text{ Btu/lbm/R}$  over the temperature range

$$= -85.9 / .280 / (1+0.162) + 1959.6 = 1657.2^\circ\text{R} (1198.1^\circ\text{F})$$

from turbine efficiency, reversible expansion temperature is determined:

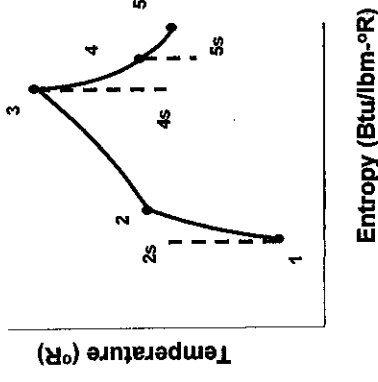
$$\eta_{\text{core turbine}} = W_T / W_{T_s} = C_p * (T_3 - T_4) / C_p * (T_3 - T_{4s})$$

$$T_{4s} = T_3 - (T_3 - T_4) / \eta_{\text{core turbine}} = 1959.6 - (1959.6 - 1657.2) / .84 = 1600.2^\circ\text{R} (1140.6^\circ\text{F})$$

the turbine exhaust temperature is calculated using a reversible expansion:

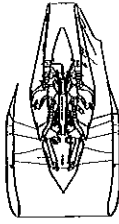
$$T_{4s} / T_3 = (P_4 / P_3)^{(\gamma-1)/\gamma} \quad \text{where } \gamma = 1.33 \text{ over the temperature range}$$

$$P_4 = P_3 * (T_{4s} / T_3)^{\gamma / (\gamma-1)} = 3.72 * (1638.8 / 1959.6)^{1.33 / (1.33-1)} = 1.644$$



# Thermodynamic Analysis (cont)

## Simple Gas Turbine Engine



(5-6) Jet Nozzle:

Using Bernoulli's Equation, calculate the jet velocity:

$$P_5/\rho + V_5^2/2 = P_6/\rho + V_6^2/2$$

Assume  $V_5$  is small. Calculate  $\rho$  from perfect gas law.

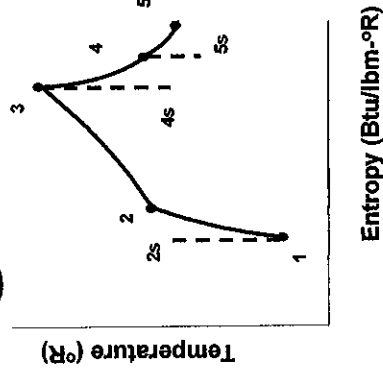
$$\rho_5 = P_5 * 144 / (R_5 * T_5) = (24.16 \text{ psia}) * 144 / ((1545/28.97) * (1600.2)) = .041 \text{ lbm/ft}^3$$

$$V_6 = (2 * g_c * (P_5 - P_6) * 144 / \rho_5)^{.5} \quad \text{where } g_c = 32.167 \text{ lbm} * \text{ft}^2 / \text{sec}^2 / \text{lbf}$$

$$= (2 * 32.167 * (24.16 - 14.696) * 144 / .041)^{.5} = 1034 \text{ ft/sec}$$

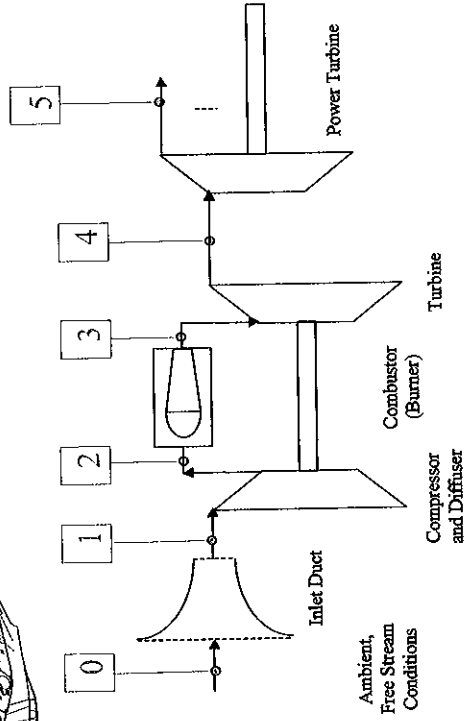
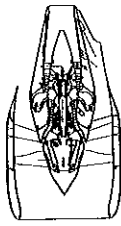
Thrust is defined as  $T = (m_o * V_o - m_i * V_i)$

$$T = (0.91 * 1.0162) \text{ lbm/sec} * 1034 \text{ ft/sec} / 32.167 \text{ lbm} * \text{ft}^2 / \text{sec}^2 / \text{lbf} \\ = 29.72 \text{ lbf}$$



# Thermodynamic Analysis (cont)

## Simple Gas Turbine Engine



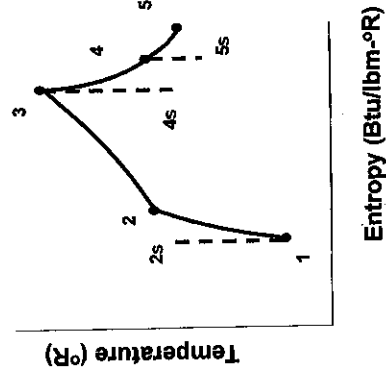
**GIVEN:**  $P_4 = 24.16$  psia,  $T_4 = 1600$  R

$P_5 = 14.696$  psia

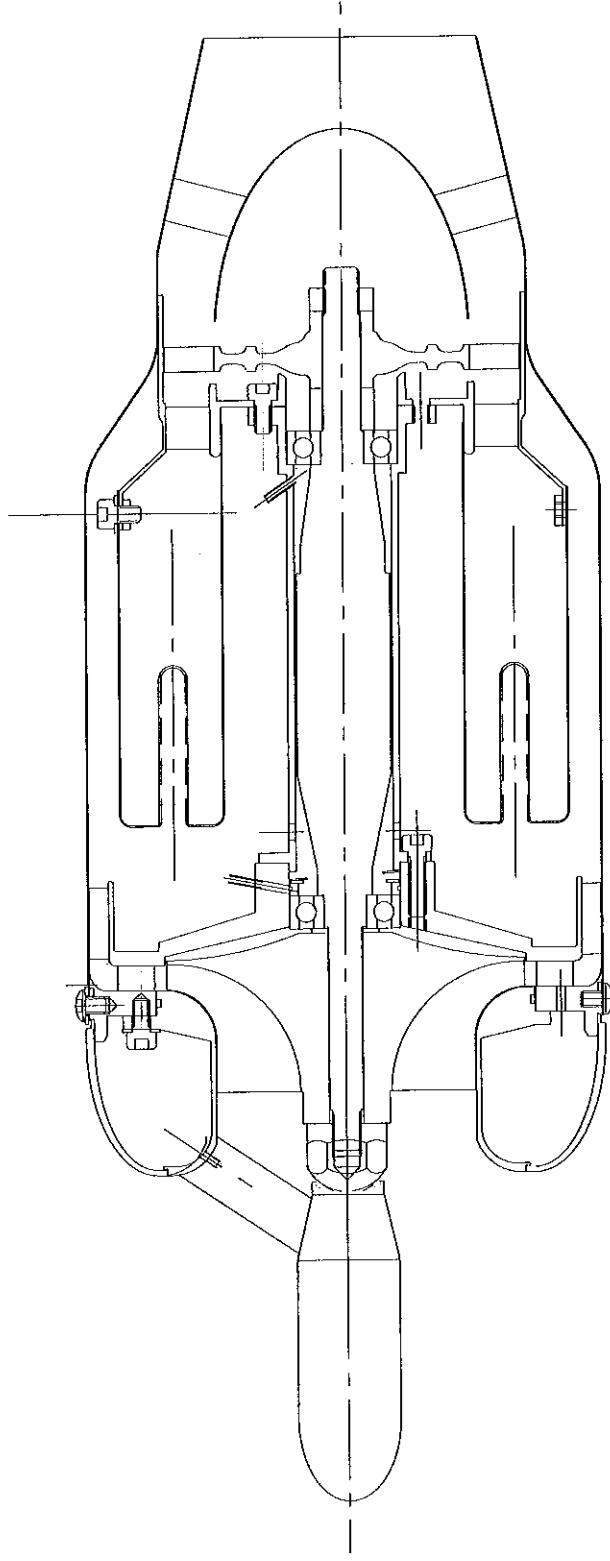
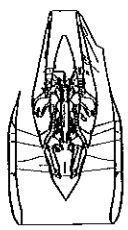
$m_4 = 0.925$  lbm/sec,

$\eta_{\text{core turbine}} = 0.87$

**DETERMINE:** The shaft power output of a power turbine used on the simple gas turbine engine in lieu of a jet nozzle.



# The Simple Gas Turbine Jet Engine - Cross-section

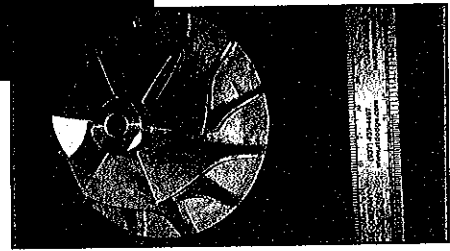
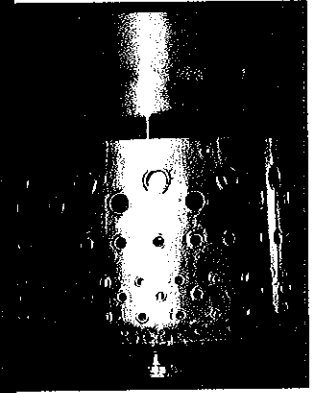
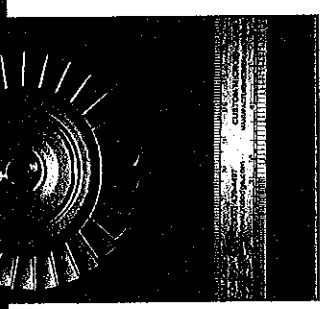
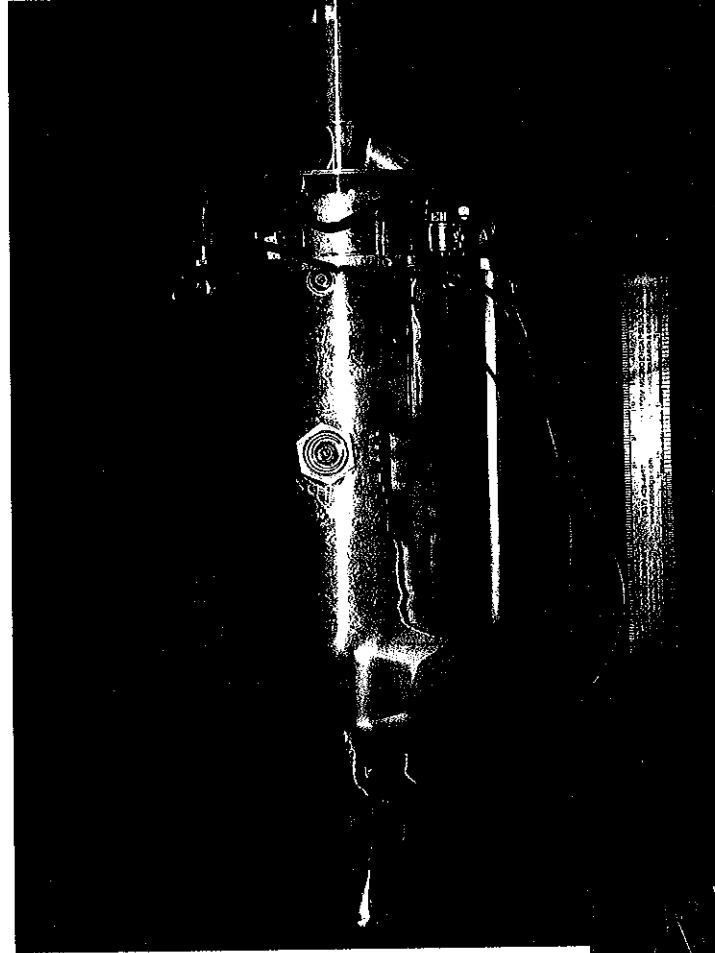
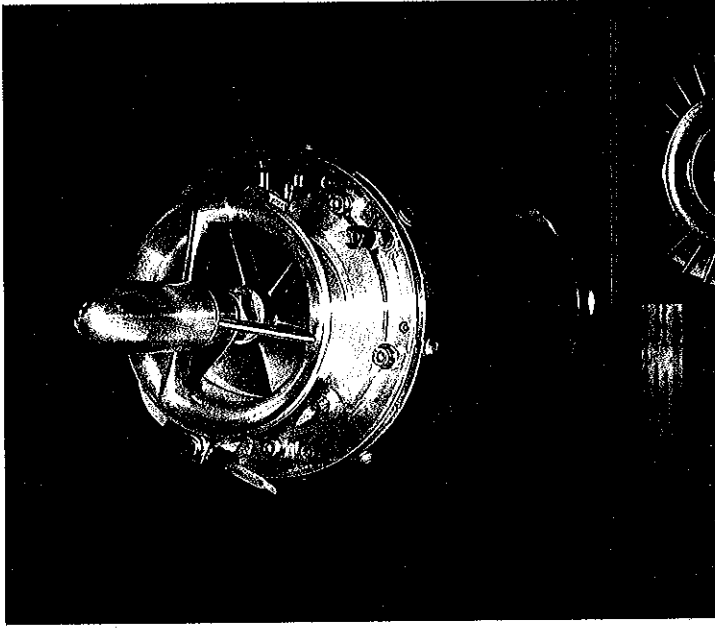
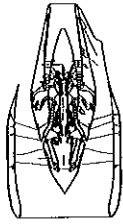


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# The Simple Gas Turbine Jet Engine - AMT ATT450 Turbojet

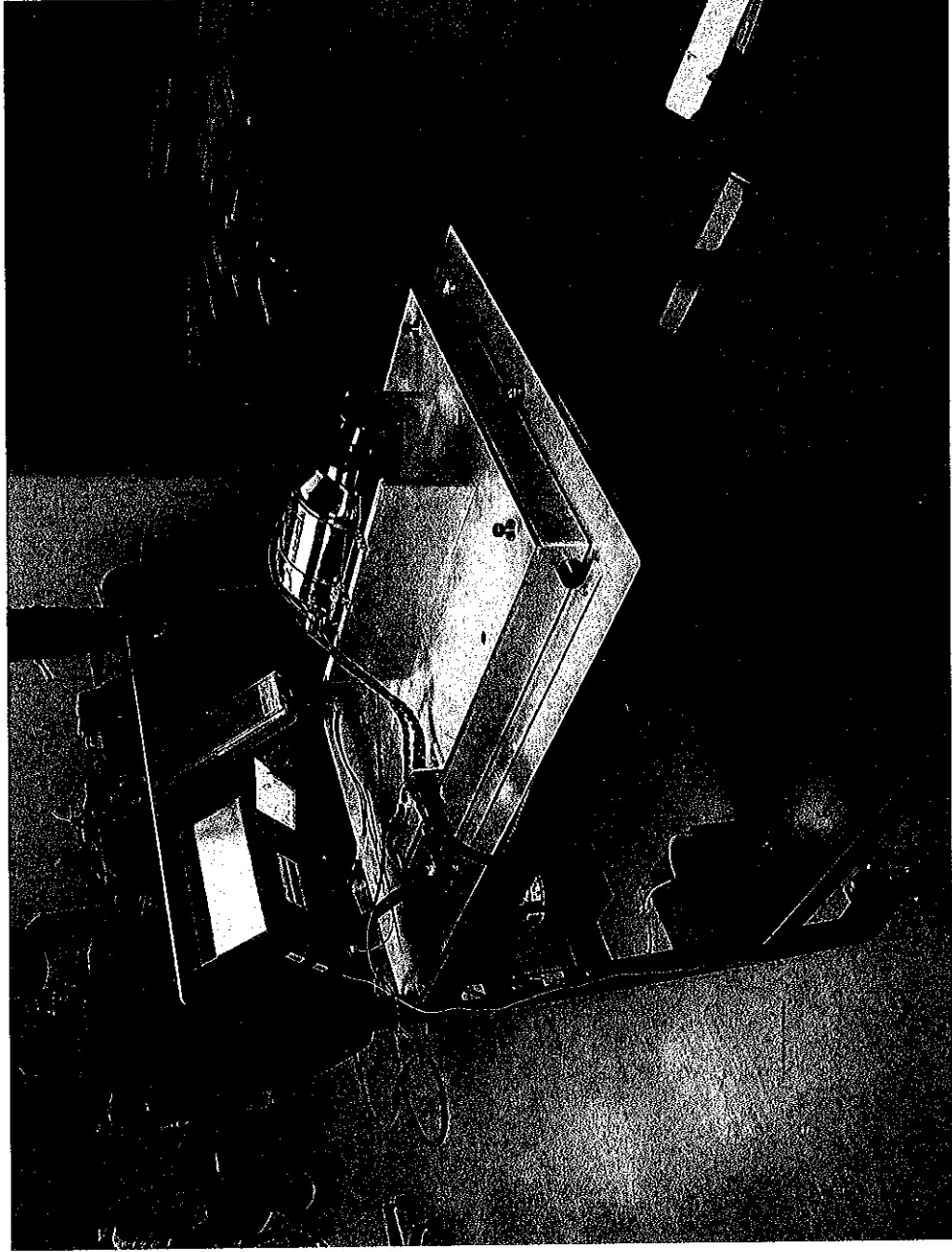
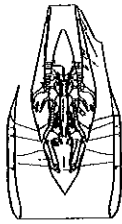


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API-00050-1 pg 21

# The Simple Gas Turbine Engine Model and Test Stand

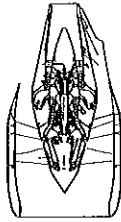


April 25, 2005

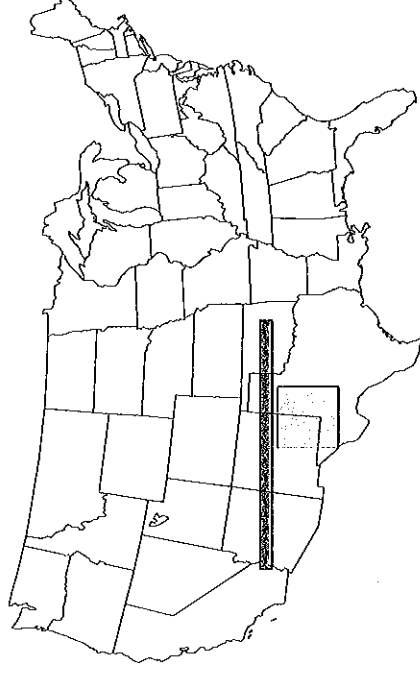
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API-00050-1 pg 22

# SOLAR POWER IS THE USA ENERGY SOLUTION



- USA USES 98 QUADRILLION BTUs OF ENERGY (IN ALL FORMS) PER YEAR
- 10<sup>9</sup> API THERMAL SOLAR POWER SYSTEM (TSPS) 10 kWe UNITS WOULD SUPPLY THIS NEED.
- ADDITIONAL, EXHAUST HEAT CAN DESALINATE 1/4 WATER RQMTS FOR USA.
- THE CAPITAL COST IS \$10-20 TRILLION.
- POWER IS PRODUCED AT 2.5 cents/kWe
- LAND AREA COMMITTED TO POWER UNITS WOULD COVER 66,000 sq/mi (2% AREA OF CONTINENTAL USA). (AVAILABLE FOR DUAL FARMING USE.)
- NO IMPORTATION ENERGY.
- ELIMINATES IMPORTS, CO<sub>2</sub>, RADIOACTIVE WASTE, MINING/DRILLING.
- API SYSTEM USES NO STRATEGIC OR HAZARDOUS MATERIALS.

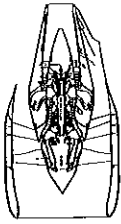


LAND COMMITTED TO API  
TSPS – EITHER RED-STRIP OR  
GREEN-SQUARE

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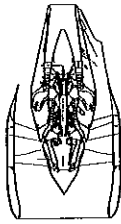
API-00050-1 pg 23



# WORLDWIDE IMPLICATIONS

- POWER INDEPENDENT OF OIL AND NUCLEAR POWER.
- POWER COST ½ TO 1/10 CURRENT SYSTEMS.
- DISTRIBUTED POWER NOT DEPENDENT ON INFRASTRUCTURE (GRID).
- DISTRIBUTED POWER INDEPENDENT OF URBAN/CENTRALIZED POLITICAL POWER. (DEMOCRACY?)
- DESALINATION OF WATER.
- REFRIGERATION FROM WASTE HEAT.
- COOKING AND HEATING IN VILLAGE ENVIRONMENT (ELIMINATION OF THE PRIME SOURCE OF DEFORESTATION IN THIRD WORLD.)
- INSTALLATION CONCEPT ALLOWS FOR USE OF 95% OF LAND UNDER UNITS FOR LIVING AND AGRICULTURAL SPACE.
- INCREMENTAL NATURE OF INVESTMENT ALLOWS FOR PHASED DEPLOYMENT OF SYSTEM, I.E., LOCAL CAPITAL SOURCES AND VILLAGES CAN AFFORD IT.
- DESIGN OF SYSTEM ALLOWS FOR MAJORITY OF STRUCTURE TO BE PRODUCED LOCALLY. HIGH VALUE SUBSYSTEMS LOW IN WEIGHT AND VOLUME AND READILY IMPORTED.





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